

AF/2823  
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ATTORNEY DOCKET NO: AGX-37

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Shooshtarian, et al.	)	Group Art Unit:	2823
Serial No:	09/527,873	)	Examiner:	Hsien Ming Lee
Filed:	March 17, 2000	)	Our Account No:	04-1403
Confirmation No:	4182	)	Customer No:	22827
Title:	Localized Heating And Cooling Of Substrates	)		

Commissioner for Patents  
U.S. Patent and Trademark Office  
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The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1. ☒ Attached hereto is:

a. ☒ A list of materials for consideration per Rule 98(a)(1): 1 page(s)

b. ☒ A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98 and/or as indicated on the attached list(s):  
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c. ☐ For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: \_\_\_\_\_

☐ Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.

2. ☒ This Information Disclosure Statement is being filed [CHECK ONE]:

a. ☐ WITHIN THREE MONTHS of the application filing date, national stage date of entry, or along with or after a request for continued examination, OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.

b. ☒ AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:

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3. ☐ Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:

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Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number: AGX-37	Serial Number: 09/527,873
	Applicant: Shooshtarian, et al.	
	Filing Date: March 17, 2000 Confirmation No: 4182	Group Art Unit: 2823

## NOTE:

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]

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EXAMINER INITIALS	PATENTEE NAME				PATENT NUMBER				ISSUE DATE	COPY NOTE		
	Nakos, et al.				5	2	2	6	7	3	2	07/13/1993

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EXAMINER INITIALS	APPLICANT'S NAME				PUBLICATION NUMBER				PUBLICATION DATE	COPY NOTE		

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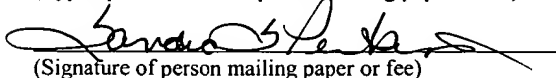
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